

PATENT APPLICATION

PTO/SB/08A MODIFIED

Substitute for Form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/003,093
		Filing Date	November 2, 2004
		First Named Inventor	Joern Ostermann et al.
		Group Art Unit	2648 55
		Examiner Name	Unknown
Sheet 1 of 2	Attorney Docket Number	2000-0600C	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
MR		US 6,453,294		DUTTA et al.	09-2002	
MR		US 5,640,590		LUTHER, Willis J.	06-1997	
MR		US 5,555,343		LUTHER, Willis J.	09-1996	
MR		US 6,539,354		SUTTON et al.	03-2003	
MR		US 5,546,500		LYBERG, Bertil	08-1996	
MR		US 6,522,333		HATLELID et al.	02-2003	
MR		US 5,657,426		WATERS et al.	08-1997	
MR		US 6,289,085		MIYASHITA et al.	09-2001	
MR		US 5,857,099		MITCHELL et al.	01-1999	
MR		US 6,393,107		BALL et al.	05-2002	
MR		US 6,377,925		GREENE et al.	04-2002	
MR		US 5,982,853		LIEBERMANN, Raanan	11-1999	
MR		US 6,002,997	A	TOU, Julius T.	12-1999	
MR		US 6,385,586	B1	DIETZ, Timothy Alan	05-2002	
MR		US 5,826,234	A	LYBERG, Bertil	10-1998	
MR		US 6,243,681	B1	GÜJL et al.	06-2001	
MR		US 5,697,789		SAMETH et al.	12-1997	
MR		US 6,122,606		JOHNSON, William J.	09-2000	
MR		US 5,983,190		TROWER et al.	11-1999	
MR		US 2002/0007276		ROSENBLATT et al.	05-2001	
MR		US 2003/0035472		WANG et al.	02-20-2003	
MR		US 2003/0191816	A1	LANDRESS et al.	10-2003	
MR		US 2001/0049596	A1	LAVINE et al.	12-2001	
MR		US 2002/0194006	A1	CHALLAPALI, Kiran	12-2002	
MR		US 2003/0028378	A1	AUGUST et al.	02-2003	
MR		US 2001/0019330	A1	BICKMORE et al.	09-2001	
MR		US 2003/0046160		PAZ-PUJALT et al.	03-2003	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Unique citation designation number. **See attached Kinds of U.S. Patent Documents. *Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. *Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08B MODIFIED

PATENT APPLICATION

PTO/SB/08A MODIFIED

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Substitute for form 1449A/PTO	
		Complete If Known	
		Application Number	10/003,093
		Filing Date	November 2, 2004
		First Named Inventor	Joern Ostermann et al.
		Group Art Unit	2644 55
Examiner Name	Unknown		
Sheet	2	of	2
		Attorney Docket Number	2000-0600C

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.†	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published.	T‡
HR	1	David Kurlander, Tim Skelly, David Satesin, "Comic Chat", Proceedings of the 23 rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996	<input type="checkbox"/>
HR	2	Pollack, "Happy in the East or Smiling in the West". New York Times, 12 August 1996.	<input type="checkbox"/>
HR	3	Pelachaud et al., "Generating Facial Expressions for Speech". Cognitive Science, Jan. 3, 1996, Vol. 20, no. 1, pp. 1-46.	<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature	<i>Min Olin</i>	Date Considered	5/19/2005
--------------------	-----------------	-----------------	-----------

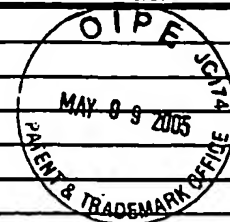
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

† Unique citation designation number. ‡ Applicant is to place a check mark here if English language translation is attached.
 SEND TO: Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT APPLICATION

PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known <table style="width: 100%;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/003,093</td> </tr> <tr> <td>Filing Date</td> <td>November 2, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Joern Ostermann</td> </tr> <tr> <td>Group Art-Unit</td> <td>2655</td> </tr> <tr> <td>Examiner Name</td> <td>Minerva Rivero</td> </tr> <tr> <td>Attorney Docket Number</td> <td>2001-0600C</td> </tr> </table>		Application Number	10/003,093	Filing Date	November 2, 2001	First Named Inventor	Joern Ostermann	Group Art-Unit	2655	Examiner Name	Minerva Rivero	Attorney Docket Number	2001-0600C
Application Number	10/003,093														
Filing Date	November 2, 2001														
First Named Inventor	Joern Ostermann														
Group Art-Unit	2655														
Examiner Name	Minerva Rivero														
Attorney Docket Number	2001-0600C														
Sheet	1	of	3												



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
UR		US-6,460,075 B2		Kruger, et al.	10-2002	
UR		US-6,784,901 B1		Harvey et al.	08-2004	
UR		US-6,496,868 B2		Krueger et al.	12-2002	
UR		US-6,680,934 B1		Cain, Bradley	01-2004	
UR		US-6,631,399 B1		Stanczak, et al.	10-2003	
UR		US-6,665,860 B1		DeSantis, et al.	12-2003	
UR		US-6,466,213		Bickmore, et al.	10-2002	
UR		US-6,018,744		Mamiya et al.	01-2000	
UR		US-5,537,662		Sato, et al.	07-1996	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office*	Number (if known)	Kind Code*				
Examiner Signature					Date Considered			

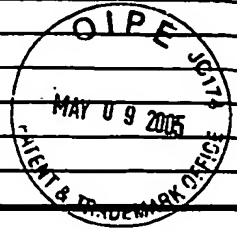
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
UR	1	H. NOOT, ZS. M. RUTKAY, "Chartoon 20.0 Manual, January 31. 2000	<input type="checkbox"/>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

PATENT APPLICATION

PTO/SB/08A MODIFIED

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known	
		Application Number	10/003,093
		Filing Date	November 2, 2001
		First Named Inventor	Joern Ostermann
		Group Art Unit	2655
		Examiner Name	Minerva Rivero
		Attorney Docket Number	2001-0600C
Sheet	2	of	13



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
MR		US-6,532,011		Francini, et al.	3-2003	
MR		US-6,147,692		Shaw, et al	11-2000	
MR		US-4,276,570		Burson, et al.	06-1981	
MR		US-5,638,502		Murata, Yoshiuki,	06-1997	
MR		US-5,818,461		Rouet et al.	10-1998	
MR		US-6,476,815		Ando, Tsutomu	11-2002	
MR		US-6,381,346		ErAsian, Arsev H.	04-2002	
MR		US-5,995,639		Kado, et al.	11-1999	
MR		US-5,689,618		Gasper, et al.	11-1997	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Office ⁴	Number (if known)	Kind Code ⁵				
Examiner Signature					Date Considered			

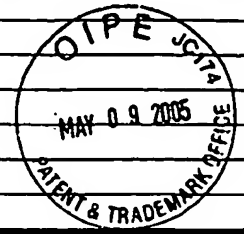
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MR	2	LIJUN YIN, A. BASU; "MPEG4 face modeling using fiducial points", IEEE; Image Processing, 1997. Proceedings., International Conference on, Volume: 1, 26-29. 1997	<input type="checkbox"/>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

PATENT APPLICATION

PTO/SB/08A MODIFIED

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Substitute for form 1449A/PTO		Complete if Known	
		Application Number	10/003,093		
		Filing Date	November 2, 2001		
		First Named Inventor	Joern Ostermann		
		Group Art Unit	2655		
		Examiner Name	Minerva Rivero		
Sheet	3	of	34	Attorney Docket Number	2001-0600C



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code² (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T²
		Office³	Number (if known)	Kind Code³				
Examiner Signature					Date Considered			

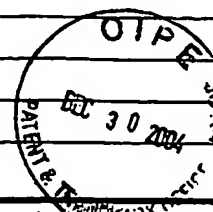
OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
HR	5	DAVID KURLANDER, TIM SKELLY, DAVID SALESIN, "Comic Chat", Proceedings of the 23rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996	<input type="checkbox"/>
HR	6	POLLACK, "Happy in the East or Smiling in the West", New York Times. 12, August 1996.	<input type="checkbox"/>
HR	7	PELACHAUD, ET AL. "Generating Facial Expressions for Speech", Cognitive Science, Jan. 3, 1996, Vol. 20, no. 1, pp. 1-46.	<input type="checkbox"/>

Examiner Signature	Min Rivero	Date Considered	5/19/2005
--------------------	------------	-----------------	-----------

**EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B MODIFIED

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete If Known	
				Application Number	10/003,093
				Filing Date	November 2, 2001
				First Named Inventor	Joern Ostermann
				Group Art Unit	2655
				Examiner Name	Minerva Rivero
Sheet	1	of	1	Attorney Docket Number	2000-0600C



U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
MR		US 5,880,731		LILES et al.	03-1999	
MR		US 5,647,834		RON, SAMUEL	07-1997	
MR		US 6,545,682		VENTRELLA et al.	04-2003	
MR		US 6,692,359		WILLIAMS et al.	02-2004	
MR		US 5,860,064		HENTON, CAROLINE G.	01-1999	
MR		US 6,405,225		APFEL et al.	06-2002	
MR		US 5,555,426		JOHNSON et al.	09-1996	
MR		US 6,232,966		KURLANDER, DAVID J.	05-2001	
MR		US 5,732,232		BRUSH et al.	03-1998	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

PTO/SB/088 MODIFIED

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MR	1	MING OUHYOUNG, I-CHEN LIN, DAVID S.D. LEE. "Web-Enabled Speech Driven Facial Animation". Proc. of ICAT'99 (International Conference on Artificial Reality and Tele-existence), pp. 23-28, Conference held on Dec. 16-18 1999, Tokyo, Japan.	<input type="checkbox"/>

Examiner Signature	<i>Min Rivero</i>	Date Considered	5/19/2005
--------------------	-------------------	-----------------	-----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number ² Applicant is to place a check mark here if English language translation is attached.

SEND TO: Commissioner for Patents, Mail Stop: Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450